424 Rec'd PCT/PTO 1 5 JUN 2000

FORM PTO-1390 (REV. 5-93) U.S. RTMENT OF COMMERCE PATE ND TRADEMARK OFFICE

ATTORNE OCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/581663

INTERNATIONAL APPLICATION NO. PCT/DE99/03018

INTERNATIONAL FILING DATE (22.09.99)

PRIORITY DATE CLAIMED: (15.10.98)
15 October 1998

PC1/DE99/03018	(22.09.99) 22 September 1999	15 October 1998	
TITLE OF INVENTION METHOD FOR PROCESSING SILICON BY ETCHING PROCESSES			
APPLICANT(S) FOR DO/EO/US BECKER, Volker; LAERMER, Franz; and SCHILP, Andrea			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information			
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.			
This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.			
This express request to begin national examination procedures (35 U.S.C. 371(f)) immediately rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).			
expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).			
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. A copy of the International Application as filed (35 U.S.C. 371(c)(2))			
is transmitted herewith (required only if not transmitted by the International Bureau).			
b. Mas been transmitted by the International Bureau.			
ি ক্রি A translation of the International Application into Engli	ited States Receiving Office (RO/US)		
A translation of the International Application into English (35 U.S.C. 371(c)(2)).			
Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
are transmitted herewith (required only if not transmitted by the International Bureau).			
b. have been transmitted by the International Bureau.			
c. \square have not been made; however, the time limit for making such amendments has NOT expired.			
d. 🛛 have not been made and will not be made.			
8.	PCT Article 19 (35 U.S.C. 371(c)(3)).		
An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned).			
10. A translation of the annexes to the International Prelim	ninary Examination Report under PCT Article	36 (35 U.S.C. 371(c)(5)).	
Items 11. to 16. below concern other document(s) or informa	ation included:		
11. ☑ An Information Disclosure Statement under 37 CFR 1.9	97 and 1.98.		
12.	ver sheet in compliance with 37 CFR 3.28 an	d 3.31 is included.	
13. ⊠ A FIRST preliminary amendment.			
☐ A SECOND or SUBSEQUENT preliminary amendmen	nt.		
14. A substitute specification.			
15. A change of power of attorney and/or address letter.	A change of power of attorney and/or address letter.		
16. ☑ Other items or information: International Search Report	rt; and PCT/RO/101.		

EXPRESS MAIL NO.: EL179952555US

527 Rec'd PCT/PTC 15 JUN 2000 U.S. APPLICATION NO. if I hown, see INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/DE99/03018 1019 CALCULATIONS | PTO USE ONLY 17. A r The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EUROPEAN PATENT OFFICE or JPO \$840.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))\$750.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$970.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims **ENTER APPROPRIATE BASIC FEE AMOUNT =** \$840.00 Surcharge of \$130.00 for furnishing the oath or declaration later than \square 20 $\ \square$ 30 months \$ from the earliest claimed priority date (37 CFR 1.492(e)). Claims Number Filed Number Extra Rate Total Claims 31 - 20 = X \$18.00 \$198.00 0 Independent Claims 1 - 3 = 0 X \$78.00 \$ 0 Mर्रेगींple dependent claim(s) (if applicable) + \$260.00 \$1038.00 **TOTAL OF ABOVE CALCULATIONS =** fit Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). SUBTOTAL = \$1038.00 Processing fee of \$130.00 for furnishing the English translation later the 20 2 30 \$ months from the earliest claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$ 1038.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$1038.00 **TOTAL FEES ENCLOSED =** Amount to be: refunded \$ charged a. 🗀 A check in the amount of \$___ ___ to cover the above fees is enclosed. b. 🖾 Please charge my Deposit Account No. 11-0600 in the amount of \$1038.00 to cover the above fees. A duplicate copy of this sheet is enclosed. c. 🛛 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0600 ... A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Kenyon & Kenyon One Broadway Richard L. Mayer, Reg. No. 22,490 New York, New York 10004 Tune 15, 2000 DATE

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EXPRESS MAIL CERTIFICATE

"EXPRESS MAIL" MAILING LABEL NUMBER <u>EL179932355US</u>
DATE OF DEPOSIT June 13, 2000
TYPE OF DOCUMENT Wational Phase Application
SERIAL NO. Awaited FILING DATE Mercuth
I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH
THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO
ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE,
BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS
MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS
ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON,
D.C. 20231.
BORIS POLANCO
(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)
J. John
(SIGNATURE OF PERSON MAILING PAPER OR FEE)